

CLAIM FORM

(re Class Actions against David Karas and James Stephenson)

I/We hereby had accounts with the following agent (check one):

David Karas: _____ or James Stephenson: _____

I/We confirm that we participated in leveraged investments and that I/we believe we lost money as a result (check yes or no): Yes: _____ No: _____

Questions regarding Unidentified Loans

Because the loan information available within the defendants' records may not include information regarding loans in relation to segregated funds (often loans from Manulife) or loans from Home Equity Lines of Credit, please answer the following if you believe you lost money as a result of these loans (check yes or no):

a) I/we believe I/we had loans to purchase segregated investments: Yes: ___ No: ___

b) I/we believe I/we accessed funds through a Home Equity Line of Credit: Yes: ___ No: ___

IF YOU ANSWERED YES TO EITHER (a) OR (b) YOU MUST ATTACH PROOF OF THESE LOANS TO THIS CLAIM FORM SO THAT THE ADMINISTRATOR CAN VALIDATE THEM.

Applicants Information

Insert the name and contact information of all account holders as requested below:

Note that by signing this form you are authorizing the Defendants to release to the Administrator their Univeris database information for consideration of your claim and are declaring that you believe the above information to be true.

Name: _____ Signature: _____

Name: _____ Signature: _____

Mailing address: _____

Telephone #s: _____ Email address (if any): _____

BY SENDING IN THIS CLAIM FORM YOU ARE APPLYING TO BE PART OF THE SETTLEMENT IN THE CLASS ACTIONS. YOUR CLAIM WILL BE REVIEWED BY THE CLASS ACTION ADMINISTRATOR AND YOU WILL BE NOTIFIED. ONLY VALIDATED CLASS MEMBERS WITH VALIDATED FINANCIAL LOSSES, AS DETERMINED BY THE ADMINISTRATOR, WILL QUALIFY FOR COMPENSATION.

TO MAKE A CLAIM THIS FORM MUST BE COMPLETED AND RETURNED TO THE ADMINISTRATOR: Crawford Class Action services, 3-505, 133 Weber St. N., Waterloo, Ontario, N2J3G9 (fax 1-888-842-1332 or tel: 1-877-739-8936) BY FEBRUARY 28, 2013.